



DOCKET NO.: IOMC-0039

COPY OF PAPERS  
ORIGINALLY FILED

PATENT

ft 6  
Wakita  
7/10/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Maki WAKITA, et al.

Serial No.: 09/763,014

Group Art Unit: 2651

Technology Center 2600

Filing Date: May 29, 2001

Examiner: Not yet known

For: CARD TYPE MAGNETIC RECORDING DEVICE

DATE OF DEPOSIT: March 19 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST  
CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED  
ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT  
EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT  
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

*Frank Carroll*  
TYPED NAME: Frank T. Carroll  
REGISTRATION NO.: 42,392

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231  
Attention:

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. Also attached is a copy of the postcard for the original filing with a date stamp by the U.S. Patent Office of May 29, 2001. Lastly, attached is a copy of the express mail label which also has the date of May 29, 2001. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

incorrectly entered; and/or  
 omitted.

<i>Error in</i>	<i>Correct data</i>
1. Applicant's name	1.
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4. <b>May 29, 2001</b>
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other:	7.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: *March, 19, 2002*

*Fr T Carroll*

Frank T. Carroll  
Registration No. 42,392

Woodcock Washburn Kurtz Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

© 1997 WWKMN

COPY OF PAPERS  
ORIGINALLY FILED

RE K/MJS (KS)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/763,014	05/09/2001 05/29/2001	2651	990	10MC-0039	8	11	1

CONFIRMATION NO. 1290

Michael J Swope  
Woodcock Washburn Kurtz Mackiewicz & Norris  
One Liberty Place 46th Floor  
Philadelphia, PA 19103



## FILING RECEIPT



\*OC000000006253784\*

Date Mailed: 07/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Maki Wakita, Sayama-shi, JAPAN;  
Katsutoshi Mukaijima, Higashikurume-shi, JAPAN;  
Shoji Takahashi, Hanno-shi, Saitama, JAPAN;

RECEIVED

JUL 09 2001

DOCKET DEPT.  
WWKMN

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/03911 06/15/2000

RECEIVED

JUL 09 2001

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP

## Foreign Applications

JAPAN 11/172838 06/18/1998

## Projected Publication Date: N/A

RECEIVED

MAY 17 2002

Technology Center 2600

## Title

Card type magnetic recording device

Preliminary Class

360

---

Data entry by : NGUYEN, SON

Team : OIPE

Date: 07/03/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

**Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231**



**JC17 Rec'd PCT/PTO 29 MAY 2001**  
RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE  
Via Express Mail # EL922206995 US

Paper: Transmittal Letter to PCT/DE/EO/US in duplicate; Preliminary Amendment (2 sh.); Version with Markings to show changes made (1sh.); Petition for Extension of Time (2 sh.); Associate Power of Attorney (2 sh.); executed Declaration/Power of Attorney (4 sh.); copy of Notification of Missing Requirements (1sh.); check for \$520.00.

Applicant(s): **Maki Wakita, Katsutoshi Mukaijima and Shoji TAKAHASHI**

U.S. Serial No.: 09/763,014  
Int'l Serial No.: PCT/JP00/03911  
Int'l Filing Date: 15 June 2000

**COPY OF PAPERS  
ORIGINALLY FILED**

Docket No.: **IOMC-0039**

Date Sent: May 29, 2001 REK/MJS/FTC/vchicchi

OIPE  
JC14  
MAR 2 8 2002  
PATENT & TRADEMARK OFFICE  
SEARCHED INDEXED SERIALIZED FILED



UNITED STATES POSTAL SERVICE®

POST OFFICE  
TO ADDRESSEE



EL 922206995 US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code <b>19103</b>	Day of Delivery <input checked="" type="checkbox"/> <b>1st</b> <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In <b>05 29 01</b>	Postage <b>\$ 12.75</b>	
Time In <b>1717</b>	12 Noon <input checked="" type="checkbox"/>	3 PM <input type="checkbox"/>
Weight <b>0.47</b>	2nd Day <input type="checkbox"/>	3rd Day <input type="checkbox"/>
Int'l Alpha Country Code <b>A-3-0</b>		COD Fee <b>\$ 12.75</b>
Acceptance Clerk Initials <b>A-3-0</b>		Insurance Fee
Total Postage & Fees <b>\$ 12.75</b>		
<input type="checkbox"/> No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		
<b>CUSTOMER USE ONLY</b> <b>METHOD OF PAYMENT:</b> Express Mail Corporate Acct. No. <b>X191468</b>		

SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.
NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday
Customer Signature

Facsimile Acct. No. or  
Postal Service Acct. No.

MAIL CENTER 3000

2000 (PLATE NUMBER)

2001

2002

2003

2004

2005

2006

2007

2008

2009

2010

2011

2012

2013

2014

2015

2016

2017

2018

2019

2020

2021

2022

2023

2024

2025

2026

2027

2028

2029

2030

2031

2032

2033

2034

2035

2036

2037

2038

2039

2040

2041

2042

2043

2044

2045

2046

2047

2048

2049

2050

2051

2052

2053

2054

2055

2056

2057

2058

2059

2060

2061

2062

2063

2064

2065

2066

2067

2068

2069

2070

2071

2072

2073

2074

2075

2076

2077

2078

2079

2080

2081

2082

2083

2084

2085

2086

2087

2088

2089

2090

2091

2092

2093

2094

2095

2096

2097

2098

2099

20100

20101

20102

20103

20104

20105

20106

20107

20108

20109

20110

20111

20112

20113

20114

20115

20116

20117

20118

20119

20120

20121

20122

20123

20124

20125

20126

20127

20128

20129

20130

20131

20132

20133

20134

20135

20136

20137

20138

20139

20140

20141

20142

20143

20144

20145

20146

20147

20148

20149

20150

20151

20152

20153

20154

20155

20156

20157

20158

20159

20160

20161

20162

20163

20164

20165

20166

20167

20168

20169

20170

20171

20172

20173

20174

20175

20176

20177

20178

20179

20180

20181

20182

20183

20184

20185

20186

20187

20188

20189

20190

20191

20192

20193

20194

20195

20196

20197

20198

20199

20200

20201

20202

20203

20204

20205

20206

20207

20208

20209

20210

20211

20212

20213

20214

20215

20216

20217

20218

20219

20220

20221

20222

20223

20224

20225

20226

20227

20228

20229

20230

20231

20232

20233

20235

20236

20237

20238

20239

20240

20241

20242

20243

20244

20245

20246

20247

20248

20249

20250

20251

20252

20253

20254

20255

20256

20257